



Minutes

Audit and Risk Committee

10.00 am, Friday 9 February 2018

Mandela Room, City Chambers, Edinburgh

Present:

Mike Ash (Chair), Councillor Alasdair Rankin, Ella Simpson and Councillor Susan Webber.

Officers: Jamie Macrae (Committee Services, CEC), Lesley Newdall (Chief Internal Auditor) and Moira Pringle (Interim Chief Finance Officer).

Apologies: Alex Joyce

1. Appointment of a Chair

Mike Ash was appointed to Chair the meeting.

2. IJB Risk Register

An update was provided on the Integration Joint Board (IJB) risk register and the proposed framework to manage, mitigate and identify risk.

IJB and Health and Social Care Partnership risks were previously maintained in a single register. The latest iteration separated IJB risks from Partnership risks. The draft register identified nine IJB risks to be assessed and mitigated. IJB risks would be strategic, and Partnership risks operational. It was proposed that both IJB and Partnership risks would be held on the Datix Risk Module, which contained signposts to all the relevant materials and guidance for

undertaking risk assessments, determining risk ratings and management action.

During discussion, the following points were made:

- The Risk Register used the NHS risk matrix, which included “current” and “target” risks. More work was required to build in target risks.
- The IJB Risk Register would be owned by the IJB; the Partnership Risk Register would be owned by the City of Edinburgh Council and NHS Lothian, but the IJB would be responsible for monitoring.
- It was anticipated that the IJB Risk Register would be fairly static, whereas the Partnership Risk Register would change more frequently.
- It was proposed that risks should be reordered and clustered into key areas: Strategic Planning/Commissioning; Issuing of Directions; and Management and Role of the IJB.
- The Risk Register would be reported to the Audit and Risk Committee and risks could be escalated to the Joint Board at any time.

Decision

- 1) To support the decoupling of IJB and Partnership risks and subsequent maintenance of two separate registers.
- 2) To agree the continued development of the register, including mitigating controls for the IJB identified risks.
- 3) To agree that the revised IJB Risk Register would be presented to the Committee in June, before being referred to the Joint Board.
- 4) To agree that a short report, explaining the proposed approach, would be presented to the Joint Board on 2 March 2018.

(References – Audit and Risk Committee, 1 December 2017 (item 5); report by the Interim Chief Finance Officer, submitted.)

3. Urgent Business

Details were provided of a proposal to adopt a more integrated approach by presenting details of the Partnership coverage included in both the Council and NHS Lothian Internal Audit plans and Audit Opinion reports.

Decision

- 1) To agree, as a pilot, to present details of Partnership coverage included in both the Council and NHS Lothian Internal Audit plans.
- 2) To agree that details of Partnership operational audits completed by both the Council and NHS Lothian would be submitted alongside the Annual Internal Audit Opinion report.